

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re patent application of: Ellis et al.

Atty. Docket No.: SWA-04

Serial No.: (unknown)

Group Art Unit:

Filed: 26 June 1998

Examiner:

For: A SYSTEM FOR TRANSFORMING AND EXCHANGING DATA
BETWEEN DISTRIBUTED HETEROGENEOUS COMPUTER
SYSTEMS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

The Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Submitted herewith on a PTO-1449 form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56. Copies of the listed documents are also being submitted herewith to comply with the provisions of 37 C.F.R. §1.98, with copies of English translations of non-English language references or of the pertinent portions thereof, if such translations are readily available. If applicable, English copies of foreign search reports citing at least some of the documents herein listed on PTO-1449 are also enclosed.

For each non-English language document listed on the attached PTO-1449 which is not cited on foreign search reports, there is provided either (1) an English translation of the document or relevant portion(s) thereof or (2) a concise explanation, hereinbelow, of the relevance of the document.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a

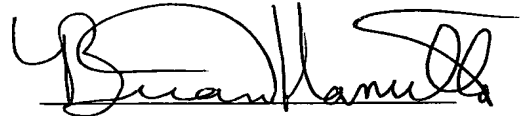
competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Statement of Relevancy

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97 (e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submissions under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed references are hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully submitted,



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Date: June 26, 1998

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